



Applicants:

Leonard et al.

U.S. Serial No.:

10/801,366

Filing Date:

March 15, 2004

For:

Systems and Methods of Blood-Based Therapies Having a

Microfluidic Membranes Exchange

Examiner:

TBA

Art Unit:

TBA

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the following:

- 1. Information Disclosure Statement (1 sheet);
- 2. PTO-1449 (2 sheets);
- 3. Copies of six (6) references cited therein; and
- 4. Return Receipt Postcard

along with any papers and/or fees referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as First-Class mail in an envelope addressed to: The Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.

Respectfully submitted,

Date: 3705

Jane M. Love, Ph.D.

Reg. No. 42,812

WILMER CUTLER PICKERING HALE AND DORR LLP 399 Park Avenue New York, New York 10022 (212) 937-7233-Phone (212) 230-8888-Facsimile

Attorney Dkt.: <u>19240.145 US 2</u>



Applicants: Leonard et al. Examiner: TBA

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Having a Microfluidic Membranes Exhcange

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SIR:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the following documents listed on the attached PTO Form 1449. This Information Disclosure Statement is being filed before the mailing date of any first Office Action on the merits, and is therefore submitted as both timely and proper. Copies of the documents listed on the attached PTO Form 1449 are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO Form 1449 with the next PTO communication.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicant's undersigned attorney invites the Examiner to telephone at the number provided below.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, the Commissioner is authorized to debit any necessary fee or credit any overpayment relating to this application (except an issue fee) to Deposit Account No. 08-0219.

Date: 3705

Respectfully submitted,

Jane M. Love, Ph.D. Registration No. 42,812 Attorney for Applicants

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

	Complete if Known			
	Application Number	10/801,366		
	Filing Date	3/15/2004		
	First Named Inventor	Leonard, et al.		
	Art Unit	To be assigned		
	Examiner Name	To be assigned		
•	Attorney Docket Number	19240.145 US2		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (f krazer)}			1 182.007 \$ poc.
		^{US-} 5,716,852	02/10/1998	Yager et al.	
		^{US-} 2001/0048637	12/06/2001	Weigl et al.	
		^{US-} 2002/0052571	05/02/2002	Fazio	
		^{US-} 2002/0090644	07/11/2002	Weigl et al.	
		^{US-} 2002/0172622	11/21/2002	Weigl et al.	
-		^{US-} 6,488,896	12/03/2002	Weigl et al.	
		^{US-} 2003/0034306	02/20/2003	Schulte et al.	
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Examiner	Cite	Foreign Patent Document	IGN PATENT DOCL Publication	Name of Patentee or	Pages, Columns, Lines,	Т
Initials*	No.1	Foreign Fatent Document	Date	Applicant of Cited Document	Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁰
		WO 02/062454	08/15/2002	Collins et al.		
		WO 02/45813	06/13/2002	Collins et al.	-	
		WO 02/36246	05/10/2002	Collins et al.		
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO Complete if Known **Application Number** 10/801,366 Filing Date 3/15/2004

INFORMATION DISCLOSURE STATEMENT BY APPLICANT First Named Inventor Leonard, et al. Art Unit To be assigned (Use as many sheets as necessary) **Examiner Name** To be assigned Attorney Docket Number Sheet 2 2 19240.145 US 2 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*			T ²
		Goldsmith, H.L. and Spain, S., "Margination of Leukocytes in Blood Flow through Small Tubes," Microvasc. Res. 1984, 27(2):204-22.	
		Takayama et al., "Topographical Micropatterning of Poly(dimethylsiloxame) Using Lamimar Flows of Liquids in Capillaries," Adv. Materials, 2001, pp. 570-574.	
		Leonard et al., "Dialysis without Membranes: How and Why?," Blood Purification 22 (1) 2004, pp. 92-100.	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.